

## LEC (NRIs)

[Paragraph 10C.23(i)]

**Statement showing company-wise details of total purchases and sales of equity shares/convertible debentures made through designated branches of ADs under Portfolio Investment Scheme on behalf of their NRI/OCB clients**

Name and address of the Link Office

Sr. No.

| <i>Name of the company (indicate full name)</i> | <i>Type of security</i>     | <i>Whether Repatriable or Non- repatriable</i> | <i>Purchases</i> |  |   |                             | <i>Sales</i> |  |   |                            |
|---|-----------------------------|--|------------------|--|---|-----------------------------|--------------|--|---|----------------------------|
|   |                             |  | <i>No.</i>       | <i>Face value per share/ debenture</i> | <i>Debenture Series No. (indicate the series No.)</i> | <i>Total purchase price</i> | <i>No.</i>   | <i>Face value per share/ debenture</i> | <i>Debenture Series No. (indicate the series No.)</i> | <i>Total Sale proceeds</i> |
| <i>1</i>  | <i>2</i>                    | <i>3</i>                                       | <i>4</i>         | <i>5</i>                               | <i>6</i>  | <i>7</i>                    | <i>8</i>     | <i>9</i>                               | <i>10</i>   | <i>11</i>                  |
|   | 1. Equity shares            | Repatriable                                    |                  |  |   |                             |              |  |   |                            |
|   | 2. PCDs/ FCDs               |  |                  |  |   |                             |              |  |   |                            |
|   | 3. Others (to be specified) |  |                  |  |   |                             |              |  |   |                            |
|   |                             |  |                  |  |   |                             |              |  |   |                            |
|   | 1. Equity shares            | Non- Repatriable                               |                  |  |   |                             |              |  |   |                            |
|   | 2. PCDs/ FCDs               |  |                  |  |   |                             |              |  |   |                            |
|   | 3. Others (to be specified) |  |                  |  |   |                             |              |  |   |                            |
|   |                             |  |                  |  |   |                             |              |  |   |                            |

We hereby certify that the above statement includes all the purchases and sales of equity shares and convertible debentures made on \_\_\_\_\_ by all the designated branches in India of our bank through stock exchanges in India on behalf of non-residents of Indian nationality/origin (NRIs) and overseas companies, partnership firms, societies and other corporate bodies owned by such persons to the extent of at least 60 per cent (OCBs) or NRIs/OCBs themselves. We also certify that the investments in each company by any single NRI does not exceed 5% of the paid up capital/each series of convertible debentures.

Place :

Date :

STAMP

(Signature of Authorised Official)

Name :

Designation :